



Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers/Depository Participants on their respective websites

Name of Intermediary : Tracom Stock Brokers Pvt Ltd

Member of : NSE, BSE & DP of NSDL

TM CODE : NSE – 08154 BSE – 6148 DP ID – IN 302332

SEBI Regn No : INZ000246930 (Stock Broker) IN-DP-178-2015 (DP)

Data for month ending OCT 2023

S N	Received from	Carried forward from previou s month	Receive d during the month	Total Pendin g	Resolved*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pendin g or less than 3 month s	Pendin g for more than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil	Nil Nil	Nil Nil	NA NA
2	SEBI (SCORES) TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil	Nil Nil	Nil Nil	NA NA
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	NA
4	Depositories	Nil	Nil	Nil	Nil	Nil	Nil	NA
5	Other Sources (if any)							

	TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil	Nil Nil	Nil Nil	NA NA
6	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil	NA

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	Nov - 2022 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
2	Dec - 2022 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
3	Jan – 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
4	Feb – 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
5	Mar – 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
6	Apr – 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
7	May– 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
8	Jun– 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
9	Jul– 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
10	Aug– 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
11	Sep– 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
12	Oct– 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil

	Grand Total				
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*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
2	2018-19 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
3	2019-20 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
4	2020-21 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
5	2021-22 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
6	2022-23 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
	Grand Total				